

Docket: IME 02 - 021C  
S/N: 10/727,201



To: Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

From: Saile Ackerman, LLC  
Stephen B. Ackerman, Reg. No. 37,761  
28 Davis Avenue  
Poughkeepsie, N.Y. 12603

Subject:

Serial No.: 10/727,201	Filed: 12/02/2003
First Named Inventor: Joon Mo Kang	
Title: METHOD OF FABRICATING OPTICAL WAVEGUIDE DEVICES WITH SMOOTH AND FLAT DIELECTRIC INTERFACES	
Group Art Unit: 2883	Examiner: Kianni, Kaveh C.
Attorney Docket: IME 02 - 021C	

**PRELIMINARY AMENDMENT FOR REQUEST FOR CONTINUED  
EXAMINATION AND IN RESPONSE TO FINAL PATENT OFFICE ACTION  
AND ADVISORY ACTION**

Dear Sir:

As a Preliminary Amendment filed concurrently with an RCE and  
response to the Final office action dated 04/20/2006 and the Advisory Action dated  
06/23/2006, please consider the following remarks:

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on July 20, 2006.

Signature/Date

7/20/06

Stephen B. Ackerman  
Reg. No. 37,761